

# TRS Screening Form Comparison- Initial Applicant

Left column shows the screening form sections as they currently are completed, the center describes the changes proposed, and the right column shows the screening form sections as proposed

Current Screening Form for Initial Applicants	Substantive Changes Proposed	Revised Screening Form for Initial Applicants
<p><b>Section 1:</b>                      Facility has CCR licensing history for the 12-month period prior to the date of application?  <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Date of Application:</p> <p>Review 12-month CCR licensing history                      Stop process if “No”</p>	<p><b>Section 1:</b>                      No changes</p>	<p><b>Section 1:</b>                      Facility has CCR licensing history for the 12-month period?  <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Date of Review:</p> <p>Review 12-month CCR licensing history                      Stop process if “No”</p>
<p><b>Section 2:</b></p> <ul style="list-style-type: none"> <li>• On Corrective or Adverse Action with CCL?  <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>• On Corrective Action with Board?  <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>• On Notice of Freeze With TWC?  <input type="checkbox"/> Yes <input type="checkbox"/> No</li> </ul> <p>Stop process if “Yes”</p>	<p><b>Section 2:</b>                      Added the information noted in the right column.</p> <p>Initial applicants may not have a firearm CCR deficiency, nor 15 total high/medium high deficiencies. This creates consistency with the requirements for Current TRS Providers.</p>	<p><b>Section 2:</b></p> <ul style="list-style-type: none"> <li>• On Corrective or Adverse Action with CCR?  <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>• On Corrective Action with Board?  <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>• On Notice of Freeze with TWC?  <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>• [Added]Cited for <u>746.3707 (b -d)</u>, regarding firearms, by CCR?  <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>• [Added]Has 15 or more total CCR High and/or Medium-High Deficiencies<sup>1</sup>? <input type="checkbox"/> Yes <input type="checkbox"/> No</li> </ul> <p>STOP process if “Yes” for any of the above.</p>

<sup>1</sup> [CCR Minimum Standards](#) are weighted based on risk to children. The weights are: high, medium-high, medium, medium-low, and low. The weights reflect a common understanding of the risk to children presented if a rule is violated.

Current Screening Form for Initial Applicants	Substantive Changes Proposed	Revised Screening Form for Initial Applicants
<p><b>Section 3:</b> CRITICAL DEFICIENCIES:</p> <p>Stop process if the applicant has received any of the following CCL Deficiencies listed below</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> 745.641 Background Checks Requirement – Providing Direct Care</li> <li><input type="checkbox"/> 745.635 Criminal Convictions or Central Registry Findings – Take Appropriate Action</li> <li><input type="checkbox"/> [Removed] 746.201(9) Permit Holder Responsibilities – Complying with Child Care Licensing Law in Chapter 42 Human Resources Code</li> <li><input type="checkbox"/> 746.1201(4) Responsibilities of Employees and Caregivers – Ensure No Child is Abused, Neglected, or Exploited</li> <li><input type="checkbox"/> 746.1201(5) Responsibilities of Employees and Caregivers – Report Suspected Child Abuse, Neglect, or Exploitation</li> <li><input type="checkbox"/> [Removed] 746.1311(a) Director Annual Training – 30 Hours Required</li> <li><input type="checkbox"/> 746.1315 First Aid and CPR Requirements</li> <li><input type="checkbox"/> 746.2805 Prohibited Punishments</li> <li><input type="checkbox"/> 746.3805(a) Administering Medication – How to Administer Medication</li> <li><input type="checkbox"/> 746.3805(b) Administering Medication – How to Administer Medication</li> </ul>	<p><b>Section 3:</b> Removed the information noted in the left column.</p> <p>Added the information noted in the right column. The deficiencies were moved from Section 4.</p> <p>These changes are intended to focus on the most serious CCR violations.</p>	<p><b>Section 3:</b> CCR Deficiency Review</p> <p>Stop process if the applicant has received any of the following CCL Deficiencies listed below. In addition, facility is unable to be Pre-Star if they have received any of the following deficiencies listed below:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> 745.635 Criminal Convictions or Central Registry Findings – Take Appropriate Action</li> <li><input type="checkbox"/> 745.641 Background Checks Requirement – Providing Direct Care</li> <li><input type="checkbox"/> 746.1201(4) Responsibilities of Employees and Caregivers – Ensure No Child is Abused, Neglected, or Exploited</li> <li><input type="checkbox"/> 746.1201(5) Responsibilities of Employees and Caregivers – Report Suspected Child Abuse, Neglect, or Exploitation</li> <li><input type="checkbox"/> [Moved from Sec. 4] 745.621 Background Checks Requirement</li> <li><input type="checkbox"/> [Moved from Sec. 4] 746.1003 Director Responsibilities</li> <li><input type="checkbox"/> [Moved from Sec. 4] 746.1201(1) Responsibilities of Employees and Caregivers – Demonstrate Competency, Good Judgment, Self-Control</li> <li><input type="checkbox"/> [Moved from Sec. 4] 746.1203(4) Responsibilities of Caregivers – Supervision of Children</li> <li><input type="checkbox"/> 746.1315 First Aid and CPR Requirements</li> <li><input type="checkbox"/> 746.2805 Prohibited Punishments</li> <li><input type="checkbox"/> 746.3805(a) Administering Medication – How to Administer Medication</li> <li><input type="checkbox"/> 746.3805(b) Administering Medication – How to Administer Medication</li> </ul>

Current Screening Form for Initial Applicants	Substantive Changes Proposed	Revised Screening Form for Initial Applicants
<p><b>Section 4:</b> HIGH AND MEDIUM-HIGH RISK DEFICIENCIES: Review 12-month licensing history. Stop process if applicant <u>was cited for five or more deficiencies in High and Medium-High standards</u> listed below</p> <p>HIGH AND MEDIUM-HIGH RISK DEFICIENCIES:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> <u>[Moved to Sec. 3]</u>745.621 Background Checks Requirement</li> <li><input type="checkbox"/> <u>Moved to Sec. 3]</u>746.1003 Director Responsibilities</li> <li><input type="checkbox"/> <u>Moved to Sec. 3]</u>746.1201(1) Responsibilities of Employees and Caregivers – Demonstrate Competency, Good Judgment, Self-Control</li> <li><input type="checkbox"/> <u>[Moved to Sec. 3]</u>746.1203(4) Responsibilities of Caregivers – Supervision of Children</li> <li><input type="checkbox"/> <u>[Removed]</u>746.1203(5) Responsibilities of Caregivers – Children in Control</li> <li><input type="checkbox"/> <u>[Removed]</u>746.1305 Pre-Service Training Requirement</li> <li><input type="checkbox"/> <u>[Removed]</u>746.1309(a) Documented Annual Training – 24 Hours Required</li> <li><input type="checkbox"/> <u>[Removed]</u>746.1309(d) Annual Training for Caregivers of Children Under 24 Months</li> </ul> <p>Total Number of High Risk and Medium-High Risk Deficiencies: ____</p>	<p><b>Section 4:</b></p> <p>Moved deficiencies shown in the left column in Section 3.</p> <p>Removed the deficiencies noted in the left column from having any direct impact (they could be accounted in the total CCR High or Medium-High deficiencies received).</p>	<p><b>Section 4:</b> No longer exists</p>
<p><b>Section 5 (previously 4a2):</b> TOTAL NUMBER OF CCR DEFICIENCIES Review 12-month licensing history.</p> <p>Stop process if initial applicant has 10 or more <u>total</u> CCL deficiencies (any deficiency).</p> <p>Total number of deficiencies: ____</p>	<p><b>Section 5:</b> This impact was moved to Section 2 (<u>added text regarding the 15 or more CCR High/Medium High deficiencies</u>). Changed from total of <u>ANY deficiency</u> to only focus on CCR High or Medium-High deficiencies.</p>	<p><b>Section 5:</b> No longer exists.</p>

# TRS Screening Form Comparison- Current Providers

Left column shows the screening form sections as they currently are completed, the center describes the changes proposed, and the right column shows the screening form sections as proposed.

Current TRS Providers receive quarterly screenings to review their licensing status.

Current Screening Form for Current TRS Providers	Substantive Changes Proposed	Revised Screening Form for Current TRS Providers
<p><b>Section 1:</b> Facility has CCR licensing history for the 12-month period prior to the date of the TRS monitoring/ recertification visit? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Date of TRS Monitoring/Recertification Visit: Review the provider’s 12-month CCR licensing history.</p>	<p><b>Section 1:</b> No changes</p>	<p><b>Section 1:</b> Facility has CCR licensing history for the 12-month period prior to the date of the TRS monitoring/recertification visit? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Date of TRS Monitoring/Recertification Review: Review the provider’s 12-month CCR licensing history</p>
<p><b>Section 2:</b></p> <ul style="list-style-type: none"> <li>• On Corrective or Adverse Action with CCL? <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>• On Corrective Action with Board? <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>• On Notice of Freeze With TWC? <input type="checkbox"/> Yes <input type="checkbox"/> No</li> </ul> <p>Repeal TRS certification if “Yes” for any criterion</p>	<p><b>Section 2:</b> Added several new criteria (noted in the column on the right) that will result in a current TRS provider losing their TRS certification.</p>	<p><b>Section 2:</b></p> <ul style="list-style-type: none"> <li>• On Corrective or Adverse Action with CCR? <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>• On Corrective Action with Board? <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>• On Notice of Freeze with TWC? <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>• [Added]Cited for <a href="#">746.3707 (b -d)</a>, regarding firearms, by CCR? <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>• [Added]Has 15 or more total CCR High and/or Medium-High Deficiencies? <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>• [Added]Has incurred 3rd consecutive probation (any level)? <input type="checkbox"/> Yes <input type="checkbox"/> No (If yes, denote previous probation start dates in last 3 years:</li> <li>• [Added]Has incurred 5th probation (any level) within last 3 years? <input type="checkbox"/> Yes <input type="checkbox"/> No (If yes, denote previous probation start dates in last 3 years: __)</li> </ul> <p>Repeal certification if “Yes” for any criterion</p>

Current Screening Form for Current TRS Providers	Substantive Changes Proposed	Revised Screening Form for Current TRS Providers
<p><b>Section 3:</b>  Provider loses a star level if the certified provider has any of the CCR Deficiencies listed below (2-Star lose TRS certification)</p> <p>CRITICAL DEFICIENCIES:  Check Yes or No</p> <p><input type="checkbox"/> 745.641 Background Checks Requirement – Providing Direct Care</p> <p><input type="checkbox"/> 745.635 Criminal Convictions or Central Registry Findings – Take Appropriate Action</p> <p><input type="checkbox"/> [Removed]746.201(9) Permit Holder Responsibilities – Complying with Child Care Licensing Law in Chapter 42 Human Resources Code</p> <p><input type="checkbox"/> 746.1201(4) Responsibilities of Employees and Caregivers – Ensure No Child is Abused, Neglected, or Exploited</p> <p><input type="checkbox"/> 746.1201(5) Responsibilities of Employees and Caregivers – Report Suspected Child Abuse, Neglect, or Exploitation</p> <p><input type="checkbox"/> [Removed]746.1311(a) Director Annual Training – 30 Hours Required</p> <p><input type="checkbox"/> [Removed]746.1315 First Aid and CPR Requirements</p> <p><input type="checkbox"/> [Removed]746.2805 Prohibited Punishments</p> <p><input type="checkbox"/> [Removed]746.3805(a) Administering Medication – How to Administer Medication</p> <p><input type="checkbox"/> [Removed]746.3805(b) Administering Medication – How to Administer Medication</p>	<p><b>Section 3:</b>  Removed the information noted in the left column.</p> <p>This section notes the CCR deficiencies that result in a Star Level drop; this section was revised to include the most serious CCR deficiencies.</p> <p>The CCR deficiencies that were removed from this section now are considered in the new Probation A status determinations (Section 4).</p>	<p><b>Section 3:</b>  STAR LEVEL DROP</p> <p>Facility is dropped one-star level for each occurrence if they have received any of the following deficiencies listed below. 2-star facilities would lose certification.</p> <p><input type="checkbox"/> 745.635 Criminal Convictions or Central Registry Findings – Take Appropriate Action</p> <p><input type="checkbox"/> 745.641 Background Checks Requirement – Providing Direct Care</p> <p><input type="checkbox"/> 746.1201(4) Responsibilities of Employees and Caregivers – Ensure No Child is Abused, Neglected, or Exploited</p> <p><input type="checkbox"/> 746.1201(5) Responsibilities of Employees and Caregivers – Report Suspected Child Abuse, Neglect, or Exploitation</p> <p>Date star level drop is effective: _____</p>

Current Screening Form for Current TRS Providers	Substantive Changes Proposed	Revised Screening Form for Current TRS Providers
<p><b>Section 4a:</b> HIGH/MEDIUM HIGH CCR DEFICIENCIES<sup>2</sup> Review 12-month licensing history.</p> <p>Provider who was cited for 5 or more High and Medium-High deficiencies loses a star level (2-Star loses TRS certification)</p> <p>HIGH AND MEDIUM-HIGH RISK DEFICIENCIES:</p> <p><input type="checkbox"/> 745.621 Background Checks Requirement</p> <p><input type="checkbox"/> 746.1003 Director Responsibilities</p> <p><input type="checkbox"/> 746.1201(1) Responsibilities of Employees and Caregivers – Demonstrate Competency, Good Judgment, Self-Control</p> <p><input type="checkbox"/> 746.1203(4) Responsibilities of Caregivers – Supervision of Children</p> <p><input type="checkbox"/> [Removed]746.1203(5) Responsibilities of Caregivers – Children in Control</p> <p><input type="checkbox"/> [Removed]746.1305 Pre-Service Training Requirement</p> <p><input type="checkbox"/> [Removed]746.1309(a) Documented Annual Training – 24 Hours Required</p> <p><input type="checkbox"/> [Removed]746.1309(d) Annual Training for Caregivers of Children Under 24 Months</p> <p>Total Number of High Risk and Medium-High Risk Deficiencies: ___</p>	<p><b>Section 4a:</b> Section 4a identifies ‘serious’ CCR deficiencies in which <u>one</u> citation results in being placed on Probation A . (Multiple deficiencies may also lead to Probation status; see Section 4b).</p> <p>Changed the impact to be probation for any 1 received instead of a Star Level drop for 5 or more</p> <p>Removed the information noted in the left column; these will instead be considered in Section 4b, in the calculation of 10-14 High/ Medium-High deficiencies.</p> <p>Added the deficiencies noted in the right column, and previously included in Section 3.</p> <p>To delineate between the two Probations (A and B) and their consecutive impacts, verbiage was added to this section to address its 2<sup>nd</sup> Probation A impact (referenced in the Note in the column on the right.)</p> <p>Changed requirement for a consecutive 2<sup>nd</sup> Probation A to be based on a provider being cited for any of the specified ‘serious’ deficiencies, rather than being recited for the same deficiency.</p>	<p><b>Section 4a:</b> PROBATION A [Changed]Facility is placed on Probation A if they have <u>any one</u> of the following deficiencies listed below:</p> <p><input type="checkbox"/> 745.621 Background Checks Requirement</p> <p><input type="checkbox"/> 746.1003 Director Responsibilities</p> <p><input type="checkbox"/> 746.1201(1) Responsibilities of Employees and Caregivers – Demonstrate Competency, Good Judgment, Self-Control</p> <p><input type="checkbox"/> 746.1203(4) Responsibilities of Caregivers – Supervision of Children</p> <p><input type="checkbox"/> [Added]746.1315 First Aid and CPR Requirements</p> <p><input type="checkbox"/> [Added]746.2805 Prohibited Punishments</p> <p><input type="checkbox"/> [Added]746.3805(a) Administering Medication – How to Administer Medication</p> <p><input type="checkbox"/> [Added]746.3805(b) Administering Medication – How to Administer Medication</p> <p>NOTE: If facility is cited for any Probation A deficiencies within the 6-month probation, without exceeding 14 total High and/or Medium-High deficiencies, the facility loses a star level and a 2<sup>nd</sup> 6-month Probation is established at the point of discovery.</p> <p>If facility is cited for any Probation A deficiencies within the second 6-month probation, facility loses certification.</p> <p>Date probation is effective Denote which probation: <input type="checkbox"/> 1st <input type="checkbox"/> 2nd</p>

<sup>2</sup> [CCR Minimum Standards](#) are weighted based on risk to children. The weights are: high, medium-high, medium, medium-low, and low. The weights reflect a common understanding of the risk to children presented if a rule is violated.

Current Screening Form for Current TRS Providers	Substantive Changes Proposed	Revised Screening Form for Current TRS Providers
<p><b>Section 4b:</b>  TOTAL NUMBER OF CCR DEFICIENCIES  Review 12-month licensing history.  Provider who has 10 to 14 total CCR (any deficiency) is placed on a 6-month Probation.</p> <p>If recited for same deficiencies within 6 months, the provider loses a star level. If new deficiencies during, Probation do not exceed 14, a new 6-month Probation is established.</p> <p>Provider who receives 15 total deficiencies (any deficiency) or is on TRS Probation for more than 12 consecutive months, loses their TRS certification.</p> <p>Total number of deficiencies: __</p>	<p><b>Section 4b:</b>  Section 4b identifies CCR deficiencies in which multiple citations results in being placed on Probation B.</p> <p>Changed from ANY CCR deficiency to only focus on CCR High or Medium-High deficiencies. This is intended to structure TRS to focus on those CCR standards that pose the highest risk to children.</p>	<p><b>Section 4b:</b>  PROBATION B  [Changed] Facility who has <u>10 to 14 CCR High and/or Medium-High deficiencies only</u>, is placed on Probation B.</p> <p>Total number of High and/or Medium-High Deficiencies</p> <p>[Changed] If <u>any additional CCR High and/or Medium-High deficiencies</u> are incurred but do not exceed 14 total, within this 6-month probation, the provider loses a star level for 6-months and a 2nd 6-month Probation is established at the point of discovery.</p> <p>If any additional CCR High and/or Medium-High deficiencies are incurred within the second 6-month probation, facility loses certification.</p> <p>Date Probation is effective  Denote which probation: <input type="checkbox"/> 1st <input type="checkbox"/> 2nd</p>
<p><b>OTHER:</b>  Child Care Rule <a href="#">§809.132(e)</a></p> <p>Providers losing a star level due to licensing deficiencies shall be reinstated at the former star level if no citations described in §809.132(b) - (d) occur within the six-month reduction time frame.</p>	<p><b>OTHER:</b>  Changes CC Rule  The Child Care Rules define when a TRS provider who has received a Star Level Reduction may regain their prior status.</p> <p>Currently, if a provider incurs any CCR deficiency, their prior TRS status may not be reinstated. Under the proposed changes, a provider may not incur any of the specified CCR deficiencies in Section 3 &amp; 4.</p>	<p><b>OTHER:</b>  [Changed] For any facility on a 6-month star level reduction, <u>no additional deficiencies denoted in Sections 3 or 4a can be incurred</u> within that 6-month timeframe in order to be reinstated at the previous certified star level.</p>